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CHA REITER

PAGE 01/08

## CHA &amp; REITER, LLC

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March 24, 2004

Office of Initial Patent Examination's Filing Receipt Corrections  
Fax No. 703- 746-9195

## Re: REQUEST FOR CORRECTED FILING RECEIPT

Inventor(s) : Se-Youn Lim et al.  
Assignor(s) : Samsung Electronics Co., Ltd.  
Title : OAM CAPABILITY DISCOVERY METHOD IN  
ETHERNET PASSIVE OPTICAL NETWORK  
Serial No. : 10/634,694  
Filed : August 5, 2003  
Docket No. : 5000-1-425

Dear Sir:

Applicant hereby request that a corrected Formal Filing Receipt be issued to correct the applicant's country of residency.

Please correct applicant, Jin-Hee Kim's country of residency from [ Republic of Korea]  
to:

-- U.S.A. --

as it appears on the Substitute Declaration submitted to the United States Patent Office  
on October 30, 2003, copies enclosed.

A copy of the filing receipt with the requested correction is enclosed.

Applicants accordingly request that the records of the U.S. Patent and Trademark  
Office be corrected to reflect Jin-Hee Kim's country of residence and that a corrected  
Formal Filing Receipt be issued.

Respectfully submitted,

  
Steve Cha  
Attorney for Applicant(s)  
Registration No. 44,069

SC/lc  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/634,694	08/05/2003	2633	750	5000-1-425	6	8	2

CONFIRMATION NO. 7568

33942  
 CHA & REITER, LLC  
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 PARAMUS, NJ 07652

## FILING RECEIPT



\*QC00000011162624\*

Date Mailed: 11/03/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Se-Youn Lim, Seoul, KOREA, REPUBLIC OF;  
 A-Jung Kim, Seoul, KOREA, REPUBLIC OF;  
 Min-Hyo Lee, Suwon-shi, KOREA, REPUBLIC OF;  
 Su-Hyung Kim, Seoul, KOREA, REPUBLIC OF;  
 Jae-Yeon Song, Songnam-shi, KOREA, REPUBLIC OF;  
 Jin-Hee Kim, Suwon-shi, KOREA, REPUBLIC OF] -- U.S.A. --

## Domestic Priority data as claimed by applicant

## Foreign Applications

REPUBLIC OF KOREA 2002-57298 09/19/2002

If Required, Foreign Filing License Granted: 11/01/2003

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

Title

## OAM capability discovery method in Ethernet passive optical network

## Preliminary Class

398

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

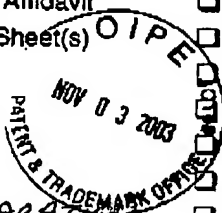
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Serial No. 101634694 Docket No. SCW-1-425 Div. \_\_\_\_\_  
Title OPTICALLY ACTIVE DISCOVERY METHOD IN  
In the Application of SE-YOUN LIM ET AL  
the following papers have been received by the Patent and Trademark Office, as indicated by the date stamped hereon:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Application For Patent                   | <input type="checkbox"/> Amendment         | <input type="checkbox"/> Response               |
| _____ pages of spec., claims & abs.                               | <input type="checkbox"/> Extension of Time |   |
| <input type="checkbox"/> Declaration                              | <input type="checkbox"/> Affidavit         | <input type="checkbox"/> Issue Fee              |
| <input type="checkbox"/> Drawings                                 | _____ Sheet(s)                             | <input type="checkbox"/> Maintenance Fee        |
| <input type="checkbox"/> Assignment                               |  | <input type="checkbox"/> Appln. TM Registration |
| <input type="checkbox"/> Priority document                        |  | <input type="checkbox"/> 8 & 15 Declaration     |
| <input type="checkbox"/> Power of Attorney                        |  | <input type="checkbox"/> Renewal Application    |
| <input type="checkbox"/> Check for \$ _____                       |  | <input type="checkbox"/> Notice of Appeal       |
| <input checked="" type="checkbox"/> <u>SUBSTITUTE DECLARATION</u> | <input type="checkbox"/> Brief             | <input type="checkbox"/> Petition               |

**DOCKET**

NOV 9 - 2003

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October 30, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-145

Re: U.S. Patent Application

Inventors :Se-Youn LIM et al.

Serial No. :10/634,694

Filed :August 5, 2003

Title :OAM CAPABILITY DISCOVERY METHOD IN  
ETHERNET PASSIVE OPTICAL NETWORK

Docket No. :5000-1-425

**SUBMISSION OF SUBSTITUTE DECLARATION**

Sir:


Please be informed that the citizenship of the inventor, Jin-Hee KIM was mistyped in the executed Declaration filed with the application on August 5, 2003. The citizenship of Jin-Hee Kim is not Republic of Korea but U.S.A.. Accordingly, we herewith enclose a newly executed Declaration in which the citizenship of Jin-Hee Kim is corrected to the U.S.A.

Please issue a Filing Receipt reflecting the correct citizenship.

Applicant hereby authorizes that any charges relating to the processing of the present Application may be charged to Deposit Account No. 502-470.

Respectfully submitted,

CHA &amp; REITER

  
By: Steve Cha  
Attorney for Applicants  
Registration No. 44,069

SC/c  
Enclosure

PTO/SB/02B

Docket No.: 5000-1-425

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor (s), I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**OAM CAPABILITY DISCOVERY METHOD IN ETHERNET PASSIVE OPTICAL NETWORK**

the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 5 2002 as United States Application Number or PCT International Application Number 10/634,694 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>2002-57296</u> (Number)	<u>Republic of Korea</u> (Country)	<u>19/09/2002</u> (Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of the Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

_____ (Application Number)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application Number)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

**POWER OF ATTORNEY:** I hereby appoint as my attorneys and other attorneys or agents the registered persons identified under

**CUSTOMER NUMBER****33942**

for the law firm of CHA & REITER, said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Address all correspondence to:

Steve S. Cha, Esq.

**CHA & REITER**

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I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor:

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Residence Address:

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Citizenship:

Republic of Korea

Date:

September 16, 2003

Signature:

Se-Youn LIM

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Post office address:

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Citizenship:

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Date:

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Signature:

A-Jung Kim

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Citizenship:

Republic of Korea

Date:

September 16, 2003

Signature:

Min-Hyo LEE

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Republic of Korea

Post office address:  
Citizenship:

Date:

September 16, 2003

Signature:

Su-Hyung Kim

Full name of Fifth inventor:  
Residence Address:

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Songnam-shi, Kyonggi-do, Republic of Korea  
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Republic of Korea

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Citizenship:

Date:

September 16, 2003

Signature:

Jae-Yeon SONG

Full name of Sixth inventor:  
Residence Address:

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Republic of Korea  
Same as above  
U.S.A.

Post office address:  
Citizenship:

Date:

September 16, 2003

Signature:

Jin-Hee Kim